How Top Broker-Dealers Make The Right Decisions

1 Identify pain points

Complicated Commissions Processing
Operating Costs
Inefficiency
Fragmented Data
Complex Single Point Solutions
Inhibitive Implementation
Frustration
Regulators
Incomplete Picture
Rep Retention and Recruitment
Expensive upgrades
Client Suitability and Management

2 Choose a simple, total solution

3 Invest in the right decisions

WealthServ®
a BlueSun product

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WealthServ® Your Business. One System.

Client Accounts and Holdings

WealthServ provides integrated compliance, commissions and document processing in one system. An end-to-end solution to manage back office operations and stay on top of ever increasing regulatory requirements. Improve communication and collaboration with WealthServ RepVision and ClientVision for the front office.

- **Compliance** – Transaction approval and post-trade review, pre-populated NAF, 17A3 management, AML-automated FINCEN and OFAC checks.
- **Commissions** – fast and accurate commissions and payment processing.
- **Data Aggregation** – Consolidated data view from all sources and full client picture across your organization. Consolidated client reports across multiple clearing firms and direct business for better books and records with less administration.

RepVision®

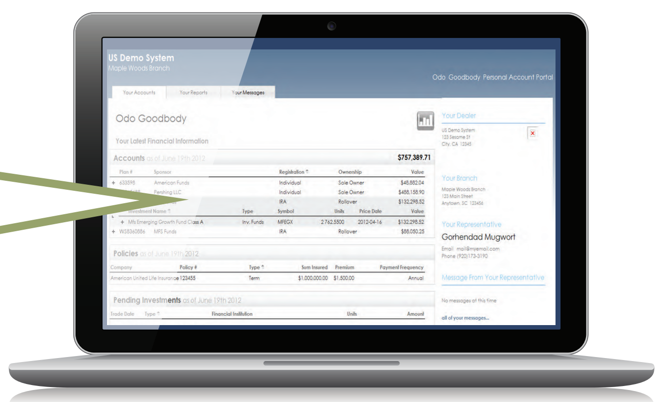
Intuitive Interface and Dashboards

RepVision is a progressive portal with the management tools Reps need to know more about their clients, do more business with them and grow faster.

- **Rep Recruitment and Retention** - Demonstrate you invest in the success of valuable advisors with tools to simplify processes and user experiences allowing Reps to focus on clients and growing their business.
- **Collaboration and Communication** – Sharing a complete view of advisor business and client information improves communication and collaboration. Reduce risk through deficiency notifications, licence status and issue tracking. Increase Rep productivity with dashboards that provide instant access to current and historical commission and fee information, deficiencies, AUM and client information.
- **On-Boarding** – Reps can enter client information from the field for immediate review for compliance to optimize processing speed.

ClientVision®

Client Access



ClientVision ensures Broker-Dealers, Reps and Clients have the same complete picture of account and transaction activity.

- **Single login** - Makes it simple to give your clients a consolidated view of all clearing and direct business activity.
- **Electronic Statement Delivery** - Securely retrieve electronic statements.
- **Communication** – Clients are able to receive messages from their Rep.

Proof of Performance

BCG Securities, Inc.

HUB Financial

World Equity Group

Harbour Investments, Inc. Brokerage Services & Financial Consultants

HBW Securities LLC "Helping Build Wealth"® Member FINRA/SIPC

BlueSun Inc. is known for providing first-rate service and delivery of integrated back and front office systems to wealth management organizations across North America.

Managed Through WealthServ